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**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under 37 CFR 1.53(b))

PTO/SB/05 (03-01)
Approved for use through 10/31/2001. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Express Mail Label No. **4717-11300** Total Pages **13**

First Named Inventor or Application Identifier
Claire RICHTARCH

Express Mail Label No. **EV 346 809 804 US**

ADDRESS TO: BOX PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification
(preferred arrangement set forth below)

-Descriptive title of the Invention
-Cross Reference to Related Applications
-Statement Regarding Fed Sponsored R&D
-Reference to Sequence Listing, a table, or a
computer program listing appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113)(Figs. 1-8b) Total Sheets **1**
Total Pages **2**

5. Oath or Declaration (executed)
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of
Prior Application Information: Examiner: _____ Group Art Unit: _____

The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO. 28765

Customer Number or Bar Code

NAME

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212-294-3311

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Name (Print/Type)

Allan A. Fanucci (Reg. No. 30,256)

Signature

Stephen Filipich (Reg. No. 33,384) for

Date

September 25, 2003

WINSTON & STRAWN LLP

200 PARK AVENUE
NEW YORK, NEW YORK 10166-4193
212-294-6700

ATTORNEY DOCKET NO.: 4717-11300

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **Claire RICHTARCH**

Title of the Invention: **METHOD OF PREPARING A SURFACE OF A SEMICONDUCTOR
WAFER TO MAKE IT EPIREADY**

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	18	20	-0-	18.00	\$
Independent	1	-3-	-0-		\$
Minimum Fee					\$ 750.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
Total					\$ 750.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$
TOTAL FILING FEE					\$ 750.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☒ Priority of application no. FR03/08969 filed July 23, 2003 is claimed under 35 U.S.C. § 119. A certified copy is enclosed herewith.
- ☒ Please charge the required fee to Winston & Strawn Deposit Account No. **501-814**. A copy of this sheet is attached. Please charge any additional fee or deficiencies in fees if needed to Winston & Strawn LLP Deposit Account No. **501-814**.

Respectfully submitted,

September 25, 2003
Date

for Stephen Filipich (Reg. No. 33,384)
Allan A. Fanucci (Reg. No. 30,256)
WINSTON & STRAWN LLP
CUSTOMER NO. 28765

EXPRESS MAIL LIST

To:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on **September 25, 2003**

Express Mail No. EV 346 809 804 US		
Attorney Docket No.	Appln. Serial No.	Items - Documents filed on September 25, 2003
4717.11300	to be assigned	Application Transmittal Cover Sheet (1 page); Application Fee Transmittal Form (1 page); Specification (10 pages); Formal Drawings Figs. 1A-1B (1 page); Executed Declaration (2 pages); Executed Assignment and Cover Sheet (3 pages); Executed Power of Attorney (1 page); Information Disclosure Statement; Form PTO 1449; 2 refs.; Certified Copy of Priority Document

Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of September 25, 2003

NY:788260.36